

Kim Sams
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This brochure supplement provides information about Kim Sams that supplements the Chapwood Capital Investment Management, LLC (“Chapwood” or “Firm”) brochure. You should have received a copy of that brochure. Please contact the Firm at (972) 865-2225 if you did not receive the Chapwood brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. Sams is available on the SEC’s website at www.adviserinfo.sec.gov.

Item 2 - Educational Background and Business Experience

Kim Sams, b. 1973

Educational Background

University of Pennsylvania, Wharton School of Business
Executive Program through Morgan Stanley DW, Inc., 2001

University of Minnesota
B.A. in Finance and Communications, 1997

Business Experience

<u>Firm</u>	<u>Title</u>	<u>Dates</u>
Chapwood Capital Investment Management, LLC	President & Chief Compliance Officer	08/2005 to Present
Waterford Capital LLC	Registered Representative	04/2007 to Present
Next Season, LLC	President & Chief Compliance Officer	07/2012 to 08/2012
Chapwood CustomHedge Portfolio Adv Svs, LLC	Chief Operating Officer	06/2010 to 12/2011
Bear Stearns Securities Corp	Managing Director (Sales Rep)	01/2003 to 05/2005
Banc of America Securities, LLC	Managing Director (Sales Rep)	08/2002 to 12/2002
Morgan Stanley DW, Inc.	Associate VP, Investments	08/1987 to 08/2002

Item 3 - Disciplinary Information

Investment Advisor Representatives are required to disclose all material facts regarding any legal and disciplinary events would be material to your evaluation of the representative. Ms. Sams has no information applicable to this Item.

Item 4 - Other Business Activities

Ms. Sams is a registered representative of Waterford Capital, Inc., a registered broker-dealer in Dallas, Texas. Waterford Capital is not affiliated with Chapwood Capital Investment Management. In her capacity as a registered representative she may be compensated through commissions based on the sale of securities, investment products and trails received from the sale of mutual funds.

This may pose a conflict of interest to the extent that she has a financial incentive to recommend securities and other investments that may result in commissions, brokerage fees, 12b-1 fees or other payments. However, Ms. Sams is constrained by fiduciary principles to act in your best interest.

Item 5 - Additional Compensation

Ms. Sams does not receive compensation for advisory services other than fees paid by the client.

Item 6 - Supervision

All client accounts are reviewed on a regular basis. Regular account reviews are taken place with each client on monthly or every two months basis. Account reviews are conducted personally with each client, either by telephone or in face to face meetings. Each account review consists of reviewing the account positions and allocations, current market conditions and any life changes or change in the client's objectives. Account positions are adjusted as needed based on the account reviews. Notes of each client account review are maintained for reference. Ed Butowsky, Managing Director of Chapwood Capital Investment Management, who can be reached at (972) 865-2225, participates jointly with Ms. Sams in performing each account review. Following the reviews, both Ms. Sams and Mr. Butowsky meet to review any further action and follow up that may result from the reviews. Ed Butowsky is the CCO and supervises the daily activities of the Adviser and its investment adviser representatives.

Ms. Sams is required to adhere to Chapwood's processes and procedures as described in our Code of Ethics. You are encouraged to discuss your needs, goals, and objectives with your Advisor and to keep her informed of any changes to your financial situation.